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FORM 6-4

6-31

Sheet 1 of 2

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STATEMENT BY APPLICANTAPPLICANT  
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(Use several sheets if necessary)

FILING DATE  
November 19, 1998GROUP  
2177

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>h</i>	5,446,891	08/29/95	Kaplan et al.			
<i>h</i>	5,644,512	07/01/97	Chernoff et al.			
<i>h</i>	5,664,063	09/02/97	Johnson et al.			
<i>h</i>	5,678,041	10/14/97	Baker et al.			
<i>h</i>	5,710,884	01/20/98	Dedrick			
<i>h</i>	5,724,567	03/03/98	Rose et al.			
<i>h</i>	5,754,938	05/19/98	Herz et al.			
<i>h</i>	5,761,662	06/02/98	Dasan			
<i>h</i>	5,790,426	08/04/98	Robinson			
<i>h</i>	5,790,935	08/04/98	Payton			
<i>h</i>	5,796,395	08/18/98	de Hond			
<i>h</i>	5,812,780	09/22/98	Chen et al.			
<i>h</i>	5,819,284	10/06/98	Farber et al.			
<i>h</i>	5,835,758	11/10/98	Nochur et al.			
<i>h</i>	5,848,396	12/08/98	Gerace			
<i>h</i>	5,867,799	02/02/99	Lang et al.			
<i>h</i>	5,892,909	04/06/99	Grasso et al.			
<i>h</i>	5,893,091	04/06/99	Hunt et al.			
<i>h</i>	5,893,111	04/06/99	Sharon, Jr. et al.			
<i>h</i>	5,907,607	05/25/99	Waters et al.			
<i>h</i>	5,917,499	06/29/99	Jancke et al.			
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<i>h</i>	5,920,848	07/06/99	Schutzer et al.			
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<i>h</i>	5,953,506	09/14/99	Kalra et al.			
<i>h</i>	5,960,411	09/28/99	Hartman et al.			
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<i>h</i>	5,966,705	10/12/99	Koneru et al.			
<i>h</i>	5,974,447	10/26/99	Cannon et al.			
<i>h</i>	5,977,964	11/02/99	Williams et al.			
<i>h</i>	5,978,799	11/02/99	Hirsch			
<i>h</i>	5,991,735	11/23/99	Gerace			
<i>h</i>	5,995,098	11/30/99	Okada et al.			
<i>h</i>	6,014,662	01/11/00	Moran et al.			
<i>h</i>	6,014,665	01/11/00	Culliss			
<i>h</i>	6,029,045	02/22/00	Picco et al.			
<i>h</i>	6,029,141	02/22/00	Bezos et al.			
<i>h</i>	6,029,165	02/22/00	Gable			
<i>h</i>	6,029,195	02/22/00	Herz			
<i>h</i>	6,035,294	03/07/00	Fish			
<i>h</i>	6,044,403	03/08/00	Gerszberg et al.			
<i>h</i>	6,055,542	04/25/00	Nielsen et al.			
<i>h</i>	6,065,040	05/16/00	Mima et al.			
<i>h</i>	6,078,916	06/20/00	Culliss			
<i>h</i>	6,078,928	06/20/00	Schnase et al.			
<i>h</i>	6,105,066	08/15/00	Hayes, Jr.			
<i>h</i>	6,129,274	10/10/00	Suzuki			
<i>h</i>	6,134,548	10/17/00	Gottzman et al.			

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		DOCUMENT NUMBER	DATE	COUNTRY / NAME	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<input checked="" type="checkbox"/>		EP 0 854 421 A1	07/22/98	Europe			X	
<input checked="" type="checkbox"/>		EP 0 897 158 A1	02/17/99	Europe			X	
<input checked="" type="checkbox"/>		WO 96/23265	08/01/96	PCT			X	
<input checked="" type="checkbox"/>		WO 97/02537	01/23/97	PCT			X	
<input checked="" type="checkbox"/>		WO 97/26729	07/24/97	PCT			X	
<input checked="" type="checkbox"/>		WO 97/41519	11/06/97	PCT			X	
<input checked="" type="checkbox"/>		WO 98/19224	05/07/98	PCT			X	
<input checked="" type="checkbox"/>		WO 98/40832	09/17/98	PCT			X	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Clausnitzer et al., "On the Application of Parallel Database Technology for Large Scale Document Management Systems", International Database Engineering and Applications Symposium, August 25-27, 1997, pp. 388-396
		Cooper, "More Than Just Hits", <u>IBM</u> , 12/02/96, pp. 63, 68, and 72
		Maes, "Agents that Reduce Work and Information Overload", <u>Communications of the ACM</u> , 1994, Vol. 37, No. 7, pp. 31-40 & 146
		Ng, "Card Companies Are Testing the Many Applications of Smart Cards for Travel and Entertainment", <u>Travel Agent</u> , 1997, p. 137 (Dialog version)
		Shapira et al., "Stereotypes in Information Filtering Systems", <u>Information Processing &amp; Management</u> , Vol. 33, No. 3, pp. 273-287, 1997

EXAMINER

SRIRAMA CHANNAVAJALA

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.